BETTINGER & LEECH FINANCIAL - Held NMS Stocks and Options Order Routing Public

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2nd Quarter, 2022

April 2022

S&P 500 Stocks Summary

Julillaly										
							Non-Marketable	e		
	Non-Directed		Market Orders as %		Marketable Lim	t	Limit Orders as	% of	Other Orders as	%
Total Non-Directed	Orders as % o	f All	of Non-Directed	Total Marketable	Orders as % of N	on-	Non-Directed		of Non-Directed	l .
Orders	Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
13	3	100.00 13	3 100.00		0	0.00	0	0.00	0	0.00

Venues

Venue - Non- Non-Directed directed Order Flow Orders VIRTU Americas,	Non-Directed Orders(%)	Market Orders	Market Orders	Marketable Limit (%) Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%)	Net Payment Paid/Received for Market Orders(USI		Paid/Received for	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Paid/Received for	Limit Orders(cent		Net Payment Paid/Received fo Other Orders(cen per hundred shar	nts
LLC(NITE) G1 Execution	5	38.46	5	38.46	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Services, LLC(ETMM)	4	30.77	4	30.77	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG) Jane Street Capital,	2	15.38	2	15.38	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
LLC(JNST)	2	15.38	2	15.38	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

April 2022

Non-S&P 500 Stocks Summary

Non-Directed Market Orders as % Marketable Limit Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Limit Orders Directed Orders Total Non-Marketable Limit Orders

Non-Marketable Limit Orders as % of Other Orders as % Non-Directed of Non-Directed Orders Total Other Orders Orders

Venues

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	i Market Orders	Market Orde	Marketable Limit	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%		Other Orders(%)	•		Paid/Received for Marketable Limit	Orders(cents per	Paid/Received for Non-Marketable	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Paid/Received for		ts
G1 Execution																		
Services, LLC(ETMM)	1	100.00	1	100.00	0	0.00	0	0.00	0 0	.00	0	0	0	0	0 0) ()	0

April 2022

Option Contracts Summary

Non-Marketable Limit Orders as % of Other Orders as % Market Orders as % Marketable Limit Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed of Non-Directed **Total Market Orders Orders** Directed Orders Total Non-Marketable Limit Orders Total Other Orders Orders Limit Orders Orders 0.00 0.00

Venues

												Net Payment		Net Payment		Net Payment		
												Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow	v Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USI	D) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred shares)

May 2022

S&P 500 Stocks

Non-Directed Market Orders as % Marketable Limit Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed Orders Orders Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders Orders 100.00 100.00 0.00 0.00

Non-Marketable Limit Orders as % of Other Orders as % of Non-Directed Total Other Orders Orders

Venues

Venue - Non- Non-Directed directed Order Flow Orders Citadel Securities	Non-Directed Orders(%)	Market Orders	Market Order	Marketable Limit s(%) Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%)	Net Payment Paid/Received for Market Orders(USI	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cent	Net Payment	Net Payment Paid/Received fo Other Orders(cer per hundred shar	nts
LLC(CDRG)	4	57.14	4	57.14	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,																		
LLC(ETMM)	1	14.29	1	14.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	1	14.29	1	14.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																		
LLC(NITE)	1	14.29	1	14.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

Net Payment

Net Payment

Net Payment

Net Payment

May 2022

Non-S&P 500 Stocks Summary

Non-Marketable Marketable Limit Limit Orders as % of Other Orders as % Non-Directed Market Orders as % Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed of Non-Directed Orders Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders Orders Total Other Orders Orders 100.00 100.00 0.00

Venues

										Net Payment	Paid/Received for Market		Paid/Received for Marketable Limit	Net Payment Paid/Received for	Paid/Received for Non-Marketable	Net Payment	Net Payment Paid/Received for	
Venue - Non- Non-Directed	Non-Directed		**	Marketable Limit		Non-Marketable		-	Other Order (64)		Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for		
directed Order Flow Orders G1 Execution	Orders(%)	Market Orders	Market Orders	s(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%) Other Orders	Other Orders(%)	Market Orders(US	o) nunarea snares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per nunarea snares)	Other Orders(USD)	per nunarea snare	S)
Services, LLC(ETMM)	1	50.00	1	50.00	0	0.00	0	0.00	0 0	.00	0	0	0	0			0	0
VIRTU Americas,	1	30.00	1	30.00	Ü	0.00	U	0.00	0 0.	.00	Ü	·	o .		,	,	,	U
LLC(NITE)	1	50.00	1	50.00	0	0.00	0	0.00	0 0	.00	0	0	0	0) () ()	0

May 2022

Option Contracts

Non-Marketable Limit Orders as % of Other Orders as % Non-Directed Market Orders as % Marketable Limit of Non-Directed Total Marketable Orders as % of Non-Total Non-Directed Orders as % of All Non-Directed of Non-Directed Orders Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders Orders

Venues

Paid/Received for Marketable Limit Paid/Received for Non-Marketable Net Payment Venue - Non-Non-Directed Non-Directed Marketable Limit Non-Marketable Non-Marketable Paid/Received for Orders(cents per Marketable Limit Orders(cents per Non-Marketable Limit Orders(cents Paid/Received for Other Orders(cents directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%) Limit Orders Limit Orders(%) Other Orders Other Orders(%) Market Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders(USD) per hundred shares) Other Orders(USD) per hundred shares)

June 2022

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders		Total Marketable Limit Orders		Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % o Non-Directed Orders	Total Other Orders)								
Venues																		
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit Orders(USD)		Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
June 2022																		
Non-S&P 500 Stocks Summary	Non-Directed		Market Orders as %		Marketable Limit		Non-Marketable Limit Orders as % o		Other Orders as %									
Total Non-Directed Orders	Orders	Total Market Orders		Total Marketable Limit Orders		Total Non-Marketable Limit Orders	Non-Directed Orders	Total Other Orders										
Venues	0.00	U	0.0	u (0.00	'	0.0	0 0	0.00	ı								
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit Orders(USD)	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)		Net Payment Paid/Received for Other Orders(cents per hundred shares)
June 2022																		
Option Contracts Summary Total Non-Directed		Total Manket Order	Market Orders as % of Non-Directed	Total Marketable	Marketable Limit Orders as % of Non-	Tatal No. Madatable livit Order	Non-Marketable Limit Orders as % o Non-Directed		Other Orders as % of Non-Directed									
Orders 0	Orders 0.00	Total Market Orders		Limit Orders		Total Non-Marketable Limit Orders	Orders 0 0.0	Total Other Orders 00 0)								
Venues																		
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit Orders(USD)		Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)