BETTINGER & LEECH FINANCIAL - Held NMS Stocks and Options Order Routing Public Report Generated on Fri Apr 07 2023 08:57:56 GMT-4 EDT

1st Quarter, 2023

January 2023

S&P 500 Stocks Summary

								Non-Marke	table		
	Non-Direct	ed	Market Or	ders as %		Marketable Limit		Limit Order	s as % of	Other Ord	ders as %
Total Non-Direct	al Non-Directed Orders as % of All		of Non-Dir	ected Tota	l Marketable	Orders as % of Non-		Non-Directe	ed	of Non-Di	irected
Orders	Orders	Tota	al Market Orders Orders	Limit	Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Oth	er Orders Orders	
	6	100.00	6	100.00	0	0.00	0	0	0.00	0	0.00

Venue - Non- Non-Directed directed Order Flow Orders Citadel Securities	Non-Directe Orders(%)	d Market Orders	Market Ord	Marketable Limi ers(%) Orders	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketa Limit Orders(		Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)			Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Paid/Received for Non-Marketable		Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)	
LLC(CDRG) G1 Execution	4	66.67	4	66.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0 (	)	0 0	
Services, LLC(ETMM)	1	16.67	1	16.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0 0	) (	0 0	
VIRTU Americas, LLC(NITE)	1	16.67	1	16.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0 (	) (	0 0	

January 2023

Non-S&P 500 Stocks Summary

									Non-Marketab	le			
	Non-Directed			Market Orders as 9	6	ħ	Marketable Limit		Limit Orders as	% of		Other Orders a	as %
Total Non-Directed	Orders as % of	All		of Non-Directed	Total Marketable	c	Orders as % of Non-		Non-Directed			of Non-Directe	ed .
Orders	Orders	1	Total Market Orders	Orders	Limit Orders		Directed Orders	Total Non-Marketable Limit Orders	Orders	T	otal Other Orders	Orders	
	0	0.00	0	0.0	0	0	0.00		0	0.00	0	)	0.00

Venues

											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flov	v Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	) Other Orders(USD)	per hundred shares)

Net Payment

Net Payment

Net Payment

January 2023

Option Contracts Summary

									Non-Marketab	le		
	Non-Directed			Market Orders as 9	6	Marketable	imit		Limit Orders a	% of	Other Orders as	% د
Total Non-Directed	Orders as % of	All		of Non-Directed	Total Marketable	Orders as %	of Non-		Non-Directed		of Non-Directed	ı
Orders	Orders		<b>Total Market Orders</b>	Orders	Limit Orders	Directed Ord	ers	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
	0	0.00	0	0.0	0	0	0.00	)	0	0.00	0	0.00

												Net Payment		Net Payment		Net Payment		
									Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment		
									Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for		
Venue - Non-	Venue - Non- Non-Directed Non-Directed Marketable Limit			Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents			
directed Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shares)

S&P 500 Stocks Summary

							Non-Marketal	ile		
	Non-Directe	d	Market Orders as 5	6	Marketable Limit		Limit Orders a	s % of	Other Orders a	as %
<b>Total Non-Directed</b>	Orders as %	of All	of Non-Directed	Total Marketable	Orders as % of Non-	•	Non-Directed		of Non-Directe	ed
Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
1		100.00	1 100 (	n .	0 0.0	n	0	0.00	n	0.00

												Net Payment		Net Payment		Net Payment			
											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for	
Venue - Non-	Non-Directed	Non-Directe	ed		Marketable Limi		Non-Marketable	Non-Marketabl	e		Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cent	.s
directed Order Flow	Orders	Orders(%)	Market Orders	Market Or	ders(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	) Other Orders(USD	) per hundred share	.s)
VIRTU Americas,																			
LLC(NITE)		1	100.00	1	100.00	0	0.00	0	0.00	0 0	00	0	0	0	0	0	0	0	0

February 2023

Non-S&P 500 Stocks Summary

Non-Marketable Market Orders as % Marketable Limit 

 Non-Marketable
 Other Orders as %

 Limit Orders as as % of Non-Directed Orders
 Of Non-Directed Orders

 Orders
 Total Other Orders

 0
 0.00

 0
 0.00

											Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed		Marketable Limit Nor			Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flor	w Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shares)

Net Payment

February 2023

Option Contracts

Non-Marketable Limit Orders as % of Other Orders as % Non-Directed of Non-Directed Orders Total Other Orders Orders Market Orders as % Non-Directed Marketable Limit Other Orders as % Total Non-Directed Orders as % of All Orders of Non-Directed Orders Orders

												Net Payment		Net Payment		Net Payment		
									Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment		
											Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flo	w Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shares)

Non-Marketable

March 2023

S&P 500 Stocks

| Non-Directed | Non-Directed | Market Orders as % of All | Orders | Non-Directed | Orders as % of All | Orders | Non-Directed Other Orders as % of Non-Directed

di	enue - Non- Non-Di rected Order Flow Orders		Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limi ers(%) Orders	t Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%	Net Payment Paid/Received for Market Orders(USD)	Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents		Net Payment Paid/Received for Other Orders(cents) per hundred shares	s
	1 Execution ervices,																			
	C(ETMM)	2	28	34.57	28	34.57	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VI	RTU Americas,																			
LU	C(NITE)	2	23	28.40	23	28.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
	tadel Securities																			
	.C(CDRG)	2	22	27.16	22	27.16	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
	ne Street Capital,																			
	.C(JNST)		5	6.17	5	6.17	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
	oneX Financial																			
	IC.(INTL)		2	2.47	2	2.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
	vo Sigma																			
	curities,																			
LU	.c(soho)		1	1.23	1	1.23	0	0.00	0	0.00	0	0.00	0	0	0	0	0	٥	0	0

March 2023

Non-S&P 500 Stocks Summary

Non-Marketable Total Non-Directed Orders as % of All Orders as % of All Total Market Orders orders orders or Non-Directed Orders as % of All Total Market Orders Ord Non-Marketable
Limit Orders as % of Other Orders as %
Non-Directed of Non-Directed
Orders Total Other Order Orders

0 0.00 0.00 0.00

							Non-Marketable	Non-Marketal			Net Payment Paid/Received for		Paid/Received for		Paid/Received for	Paid/Received for Non-Marketable		Net Payment Paid/Received for	
Venue - Non-	Non-Directed	Non-Directed	1		Marketable Limit						Market	Orders(cents per	Marketable Limit	Orders(cents per		Limit Orders(cents			
directed Order Flor	w Orders	Orders(%)	Market Orders	Market Order	rs(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(9	<ul><li>Other Orders</li></ul>	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	) Other Orders(USD)	per hundred share:	5)
G1 Execution																			
Services,																			
LLC(ETMM)		9	50.00	9	50.00	0	0.00	0	0.00	0 0.	00	0	0	0	0	0	0 (	)	0
Citadel Securities																			
LLC(CDRG)		6	33.33	6	33.33	0	0.00	0	0.00	0 0.	00	0	0	0	0	0	0 (	)	0
VIRTU Americas,																			
LLC(NITE)		3	16.67	3	16.67	0	0.00	0	0.00	0 0.	00	0	0	0	0	0	0 (	)	0

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| Non-Directed | Non-Directed | Non-Directed | Non-Marketable | Market Orders as % of Non-Directed | Non-Direct

Venues

											Net Payment		Net Payment		Net Payment		
										Net Payment	Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
										Paid/Received for	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non- Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shares)