BETTINGER & LEECH FINANCIAL - Held NMS Stocks and Options Order Routing Public Report Generated on Thu Jan 11 2024 04:59:18 GMT-5 EST

## 4th Quarter, 2023

October 2023

S&P 500 Stocks Summary

									Non-Marketab	e		
	Non-Directe	d		Market Orders as %		Marketable Li	nit		Limit Orders as	% of	Other Orders a	s % of
Total Non-Directed	Orders as %	of All		of Non-Directed	Total Marketable	Orders as % of	Non-		Non-Directed		Non-Directed	
Orders	Orders		Total Market Orders	Orders	Limit Orders	Directed Orde	rs	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
:	2	100.00	2	100.00		0	0.00		D	0.00	0	0.00

Venues

Venue - Non- Non-Directed Non-Directed	Marketable Limit	Non-Marketable Non-Marketable	Paid/Received for Orders(cents per M	aid/Received for Marketable Limit Paid/Received for Aarketable Limit Orders(cents per Non-Marketable	Limit Orders(cents Paid/Received for Other Orders(cents
directed Order Flow Orders Orders(%) Market Citadel Securities LLC(CDRG) 2 100.00	Orders         Market Orders(%)         Orders         Marketable Limit Orders(%)           2         100.00         0	Limit Orders Limit Orders(%) Other Orders	Other Orders(%)     Market Orders(USD) hundred shares)     Or       0     0.00     0     0	orders(USD) hundred shares) Limit Orders(USD)	per hundred shares) Other Orders(USD) per hundred shares) 0 0 0 0 0 0 0

October 2023

### Non-S&P 500 Stocks

Summary

												Non-Marketab	le			
	Non-Directed			Market Orders	as %		M	1arketable Limi	t			Limit Orders as	s% of		Other Orders a	s % of
Total Non-Directed	Orders as % of A	All		of Non-Directed	1	Total Marketable	0	rders as % of N	on-			Non-Directed			Non-Directed	
Orders	Orders	т	Total Market Orders	Orders		Limit Orders	Di	irected Orders		Total Non-Marketable Limit Orders		Orders	1	Total Other Orders	Orders	
C	)	0.00	0		0.00		0		0.00		0		0.00	0		0.00

Venues

												Net Payment		Net Payment		Net Payment		
												Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow	v Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD	) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)

October 2023

**Option Contracts** 

Summary

							Non-Marketa	ble		
	Non-Directed		Market Orders as %		Marketable Lin	it	Limit Orders a	as % of	Other Orders as	s % of
Total Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of	Non-	Non-Directed		Non-Directed	
Orders	Orders	Total Market Orders	Orders	Limit Orders	Directed Order	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
0	0	00 0	0.0	D	0	0.00	0	0.00	0	0.00

Venues

							Net Payment		Net Payment		Net Payment							
												Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flo	ow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD	) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)

November 2023

S&P 500 Stocks Summary

Non-Directed         Market Orders as %         Marketable Limit           Total Non-Directed         Orders as % of All         of Non-Directed         Total Marketable         Orders as % of Non-           Orders         Total Market Orders         Orders         Limit Orders         Directed Orders         Total Non-Marketable Limit Orders           0         0.00         0         0.00         0         0.00	Non-Marketable       Limit Orders as % of     Other Orders as % of       Non-Directed     Non-Directed       Orders     Total Other Orders       0     0.00     0
Venues Venue - Non- Non-Directed Non-Directed Market Orders Marketable Limit directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%)	Net Payment         Net Payment         Net Payment           Paid/Received for         Net Payment         Paid/Received for         Net Payment           Paid/Received for         Net Payment         Paid/Received for         Net Payment           Non-Marketable         Non-Marketable         Non-Marketable         Non-Marketable         Non-Marketable           Limit Orders(%)         Other Orders(off)         Market Orders(USD)         Narketablese)         Invit Orders(S(SN)         Der hundred shares)         Other Orders(SD)
directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%) November 2023	Limit Orders Limit Orders(%) Other Orders Other Orders(WS) Market Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders(USD) per hundred shares) Other Orders(USD) per hundred shares)
Non-S&P 500 Stocks Summary Non-Directed Market Orders as % Marketable Limit	Non-Marketable Limit Orders as % of Other Orders as % of
Total Non-Directed         Orders as % of All         of Non-Directed         Total Marketable         Orders as % of Non-           Orders         Orders         Total Market Orders         Cirders         Directed Orders         Total Non-Marketable Limit Orders           0         0.00         0         0.00         0         0.00	Non-Directed     Non-Directed       Orders     Total Other Orders       0     0.00
Venues Venue - Non- Non-Directed Non-Directed Marketable Limit	Net Payment Net Payment Net Payment Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Net Payment Market Paid/Received for Marketable Limit Paid/Received for Non-Marketable Limit Orders(cents per Mor-Marketable Limit Orders(cents Paid/Received for Other Orders(cents Set Non-Marketable Limit Orders(Set Non-Marketable Limit Orders(Set Non-Marketable Limit Orders(S
directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%)	Limit Orders Limit Orders(%) Other Orders Other Orders(W) Market Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders(USD) per hundred shares) Other Orders(USD) per hundred shares)
Option Contracts         Summary       Non-Directed       Market Orders as %       Marketable Limit         Total Non-Directed       Orders as % of All       of Non-Directed       Total Marketable       Orders as % of Non-         Orders       Orders       Total Market Orders       Orders       Limit Orders       Directed Orders       Total Non-Marketable Limit Orders         0       0.00       0       0.00       0       0.00	Non-Marketable       Limit Orders as % of     Other Orders as % of       Non-Directed     Non-Directed       Orders     Total Other Orders       0     0.00     0
Venues Venue - Non- Non-Directed Non-Directed Market Orders Marketable Limit directed Order Flow Orders Orders (%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%)	Net Payment Net Payment Net Payment Paid/Received for Non-Marketable Limit Orders(Cents per Non-Marketable Limit Orders(W) Other Orders (M) Market Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders (USD) hundred shares) Limit Or
December 2023 S&P 500 Stocks Summary	
Non-Directed Market Orders as % Marketable Limit Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non- Orders Orders Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders 1 100.00 1 100.00 0 0.00	Non-Marketable       Limit Orders as % of     Other Orders as % of       Non-Directed     Non-Directed       Orders     Total Other Orders       0     0.00     0
Venues	Net Payment Net Payment Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Narketable Limit Paid/Received for Non-Marketable Net Payment Paid/Received for
Venue - Non-     Non-Directed     Non-Directed     Market       directed Order Flow     Orders     Orders(%)     Market Orders(%)     Orders     Marketable Limit Orders(%)       VIRTU Americas,     1     100.00     1     100.00     0	Non-Marketable Limit Orders     Non-Marketable Limit Orders(%)     Non-Marketable Other Orders     Paid/Received for Market Orders(USD)     Orders(cents per hundred shares)     Non-Marketable Limit Orders(USD)     Limit Orders(Loss)     Paid/Received for per hundred shares)     Other Orders(Loss)       0.00     0     0.00     0

#### December 2023

# Non-S&P 500 Stocks

### Summary

									Non-Marketab	le		
	Non-Directed			Market Orders as %		Market	table Limit		Limit Orders as	s % of	Other Orders a	is % of
Total Non-Directed	Total Non-Directed Orders as % of All		of Non-Directed	Total Marketable	Orders	as % of Non-		Non-Directed		Non-Directed		
Orders	Orders		Total Market Orders	Orders	Limit Orders	Directe	ed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
(	D	0.00	0	0.00	C	D	0.00		0	0.00	0	0.00

### Venues

											Net Payment		Net Payment		Net Payment				
													Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
												Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
v	enue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
di	irected Order Flow	Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD	) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)

### December 2023

**Option Contracts** 

#### Summary

								Non-Marketa	ble		
	Non-Directe	d	Market Order	rs as %	Marketa	ble Limit		Limit Orders	as % of	Other Ord	ers as % of
Total Non-Direct	ed Orders as %	of All	of Non-Direct	ed Total Mark	etable Orders a	s % of Non-		Non-Directed	I	Non-Direc	ted
Orders	Orders	Total N	Narket Orders Orders	Limit Orde	rs Directed	Orders Total Non-	Marketable Limit Orders	Orders	Total Ot	her Orders Orders	
	0	0.00	0	0.00	0	0.00		0	0.00	0	0.00

### Venues

											Net Payment		Net Payment		Net Payment		
											Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
										Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non- Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)