BETTINGER & LEECH FINANCIAL - Held NMS Stocks and Options Order Routing Public

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1st Quarter, 2024

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S&P 500 Stocks Summary

Non-Marketable Non-Directed Market Orders as % Marketable Limit Limit Orders as % of Other Orders as % of Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed Non-Directed Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders 100.00 100.00 0.00

												Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
											Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received fo	r
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketabl	e		Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cer	ıts
directed Order	Flow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(US) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shar	res)
G1 Execution																			
Services, LLC(ET	(MM)	1 10	0.00	1 100.0	00	0	0.00	0	0.00	0 0.0	00	0	0	0	0	0 ()	0	0

Net Payment

Net Payment

Net Payment

Net Payment

Net Payment

Net Payment

Net Payment

January 2024

Non-S&P 500 Stocks Summary

Non-Marketable Non-Directed Market Orders as % Marketable Limit Limit Orders as % of Other Orders as % of of Non-Directed Total Marketable Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders Orders 0.00 0.00 0.00

Venues

Paid/Received for Paid/Received for Paid/Received for Marketable Limit Limit Orders(cents Paid/Received for Other Orders(cents Venue - Non-Non-Directed Non-Directed Non-Marketable Paid/Received for Orders(cents per Marketable Limit Orders(cents per Non-Marketable Other Orders(%) Market Orders(USD) hundred shares) directed Order Flow Orders Market Orders Market Orders(%) Orders Marketable Limit Orders(%) Limit Orders Limit Orders(%) Other Orders Orders(USD) hundred shares) Limit Orders(USD) per hundred shares) Other Orders(USD) per hundred shares)

Non-Marketable

January 2024

Option Contracts Summary

Non-Directed Market Orders as % Marketable Limit Limit Orders as % of Other Orders as % of Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed Non-Directed Total Market Orders Orders Limit Orders Directed Orders Total Non-Marketable Limit Orders Orders 0.00 0.00

Venues

Net Payment Net Payment Paid/Received for Net Payment Paid/Received for Paid/Received for Net Payment Market Paid/Received for Marketable Limit Non-Marketable Net Payment Paid/Received for Paid/Received for Orders(cents per Orders(cents per Limit Orders(cents Paid/Received for Other Orders(cents Market Orders Marketable Limit Orders(%) Other Orders Other Orders(%) Market Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders(USD) directed Order Flow Orders Orders(%) Market Orders(%) Orders Limit Orders Limit Orders(%) per hundred shares) Other Orders(USD) per hundred shares)

February 2024

S&P 500 Stocks Summary

Non-Directed Total Non-Directed Orders as % of All Orders Orders Total Market 1 100.00	Market Orders as % of Non-Directed Total Marketable Orders Orders Limit Orders 1 100.00	Marketable Limit Ile Orders as % of Non- Directed Orders Total Non-Marketable Limit Orders 0 0.00	Non-Marketable Limit Orders as % of Non-Directed Orders Total Other Order 0 0.00	Other Orders as % of Non-Directed rs Orders 0 0.00			
Venues							
Venue - Non- Non-Directed directed Order Flow Orders Orders(%) G1 Execution Services, LLC(ETMIM) 1	Market Orders Market Orders(%	Marketable Limit (%) Orders Marketable Limit Orders(%) 00.00 0	Non-Marketable Limit Orders Unit Orders(%)	Other Orders Other Orders(%)	Net Payment Market P Paid/Received for Orders(cents per N	Net Payment Paid/Received for Marketable Limit Orders(USD) Net Payment Paid/Received for Marketable Limit Orders(SUSD) Net Payment Paid/Received for Non-Marketable Umit Orders(USD) O 0	Limit Orders(cents Paid/Received for Other Orders(cents
February 2024							
Non-S&P 500 Stocks Summary			Non-Marketable				
Non-Directed Total Non-Directed Orders S % of All Orders Total Market 0 0 0.00	Market Orders as % of Non-Directed Total Marketable Orders Orders Limit Orders 0 0.00	Marketable Limit Orders as % of Non- Directed Orders O 0.00 Marketable Limit Orders	Limit Orders as % of Non-Directed Orders Total Other Order 0 0.00	Other Orders as % of Non-Directed rs Orders 0 0.00			
Venues							
Venue - Non- Non-Directed Non-Directed directed Order Flow Orders Orders(%)	Market Orders Market Orders(?	Marketable Limit (%) Orders Marketable Limit Orders(%)	Non-Marketable Non-Marketable Limit Orders Limit Orders(%)	Other Orders Other Orders(%)	Paid/Received for Orders(cents per N	Net Payment Net Payment Paid/Received for Marketable Limit Orders(USD) Net Payment Paid/Received for Marketable Limit Orders(USD) Non-Marketable Limit Orders(USD)	Limit Orders(cents Paid/Received for Other Orders(cents
February 2024							
Option Contracts Summary Non-Directed Total Non-Directed Orders as % of All Orders Total Market	Market Orders as % of Non-Directed Total Marketable Orders Orders Limit Orders	Marketable Limit le Orders as % of Non- Directed Orders Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders Total Other Orde	Other Orders as % of Non-Directed rs Orders			
0 0.00	0 0.00	0 0.00	0 0.00	0.00			
Venues Venue - Non- Non-Directed Non-Directed directed Order Flow Orders Orders(%)	 Market Orders Market Orders{9	Marketable Limit (%) Orders Marketable Limit Orders(%)	Non-Marketable Non-Marketable Limit Orders Limit Orders(%)	Other Orders Other Orders(%)	Net Payment Market P Paid/Received for Orders(cents per N	Net Payment Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Non-Marketable Orders(USD) hundred shares) Limit Orders(USD	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) Net Payment Net Payment Paid/Received for Other Orders(cents per hundred shares)
March 2024							
S&P 500 Stocks Summary							
Non-Directed Total Non-Directed Orders as % of All Orders Orders Total Market	Market Orders as % of Non-Directed Total Marketable Orders Orders Limit Orders 0 0.00	Marketable Limit lle Orders as % of Non- Directed Orders Total Non-Marketable Limit Orders 0 0.00	Non-Marketable Limit Orders as % of Non-Directed Orders Total Other Order 0 0.00	Other Orders as % of Non-Directed rs Orders 0 0.00			
Venues							

Non-Marketable

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USE	Market Orders(cents per O) hundred shares)	Paid/Received for Marketable Limit Orders(USD)	Marketable Limit Orders(cents per hundred shares)	Paid/Received for Non-Marketable Limit Orders(USD)	Non-Marketable Limit Orders(cents per hundred shares)	 Paid/Received for Other Orders(cents per hundred shares)
March 2024																
Non-S&P 500 Stocks Summary						Non-Marketable										
Total Non-Directed Orders as % of All Orders 0 0 0.0	Total Market Order	Market Orders as % of Non-Directed rs Orders 0 0.0	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders 0.0	Total Non-Marketable Limit Orders	Limit Orders as % o Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders 0.0								
Venues																
Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Net Payment Paid/Received for Market Orders(cents per D) hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
March 2024																
Option Contracts Summary Non-Directed		Market Orders as %	6	Marketable Limit		Non-Marketable Limit Orders as % o	ıf	Other Orders as % o	sf							
Total Non-Directed Orders as % of All Orders Orders 0 0.0	Total Market Order	of Non-Directed	Total Marketable Limit Orders	Orders as % of Non- Directed Orders	Total Non-Marketable Limit Orders	Non-Directed Orders	Total Other Orders	Non-Directed								
Venues																
Venue - Non- Mon-Directed directed Order Flow Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USE	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Net Payment

Paid/Received for Net Payment

Net Payment

Paid/Received for Net Payment

Net Payment

Paid/Received for

Net Payment