BETTINGER & LEECH FINANCIAL - Held NMS **Stocks and Options Order Routing Public** Report Generated on Fri Jul 31 2020 03:35:14 GMT-4 EDT

2nd Quarter, 2020

June 2020

S&P 500 Stocks

Non-Directed

Summary

100.00

Marketable Limit Non-Marketable

0.00

Market Orders as Orders as % of Limit Orders as % Other Orders as % Orders as % of All % of Non-Directed Non-Directed of Non-Directed of Non-Directed Orders Orders Orders Orders

0.00

0.00

Orders 100.00

Venues

							Net Payment		Net Payment		Paid/Received fo	r	Net Payment	
							Paid/Received for	r Net Payment	Paid/Received fo	r Net Payment	Non-Marketable	Net Payment	Paid/Received	for
Venue - Non-							Market	Paid/Received fo	r Marketable Limit	Paid/Received fo	r Limit Orders(cent	s Paid/Received for	Other	
directed Order	Non-Directed		Marketable Limit	Non-Marketable		Net Payment Paid/Received for Market	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	Other	Orders(cents p	er
Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Other Orders(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD	shares)	Orders(USD)	hundred share	:s)
Citadel Securities														
LLC(CDRG)	100.00	100.00	0.00	0.00	0.00		0	0	0	0	)	) (	)	0

Net Payment

Net Payment

Material Aspects:

June 2020

Non-S&P 500 Stocks Summary

Marketable Limit Non-Marketable

Market Orders as Orders as % of Limit Orders as % Other Orders as % Non-Directed Orders as % of All % of Non-Directed Non-Directed of Non-Directed of Non-Directed Orders Orders Orders Orders Orders 0.00 0.00 0.00 0.00 0.00

Venues

**Net Payment** Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Non-Marketable Net Payment Paid/Received for Venue - Non-Paid/Received for Marketable Limit Paid/Received for Limit Orders(cents Paid/Received for Other directed Order Marketable Limit Non-Marketable Non-Directed Net Payment Paid/Received for Market Orders(cents per Marketable Limit Orders(cents per Non-Marketable per hundred Other Orders(cents per Flow Orders(%) Market Orders(%) Orders(%) Limit Orders(%) Other Orders(%) Orders(USD) hundred shares) Orders(USD) hundred shares) Limit Orders(USD) shares) Orders(USD) hundred shares)

Material Aspects:

June 2020

**Option Contracts** 

Summary

Non-Directed Market Orders as Soft Orders as % of All Soft Orders Orders

Market Orders(%) Orders(%)

Limit Orders(%) Other Orders(%) Orders(USD)

Venues

Flow

Net Payment Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Paid/Received for Net Payment Non-Marketable Net Payment Paid/Received for Venue - Non-Paid/Received for Marketable Limit Paid/Received for Limit Orders(cents Paid/Received for Other directed Order Non-Directed Marketable Limit Non-Marketable Net Payment Paid/Received for Market Orders(cents per Marketable Limit Orders(cents per Non-Marketable per hundred Other Orders(cents per

Net Payment

Orders(USD)

hundred shares)

hundred shares) Orders(USD) hundred shares) Limit Orders(USD) shares)

Material Aspects:

Orders(%)