BETTINGER & LEECH FINANCIAL - Held NMS Storks and Options Order Routing Public Report Generated on Wed Jan 06 2021 07:56:02 GMT-5 EST

4th Quarter, 2020

December 2020

## S&P 500 Stocks Summary

								Non	-Marketable												
	Non-Dire								Other Orders as %												
	ected Orders a			of Non-Directed	Total Marketable	Orders as % of Non-			-Directed		of Non-Di	irected									
Orders	Orders		Total Market Order		Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Ord		Total Other Ord	ers Orders										
	2	100.00		2 100.0	0 0	0.00	)	0	0.0	00	0	0.0	0								
Venues																					
Venue - Non-	Non-Dire		Non-Directed			Marketable Limit		Non	-Marketable	Non-Marketable	•			Net Payment Paid/Received for	Net Payment Paid/Received for Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable	Net Payment Paid/Received for Non-Marketable Limit Orders(cents		Net Payment Paid/Received for Other Orders(cents
directed Orde			Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limi	t Orders	Limit Orders(%)	Other Ord	ders	Other Orders(%)	Market Orders(USI	D) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	) Other Orders(USD)	per hundred shares)
Citadel Securi	ties																				
LLC(CDRG)		2	100.0	0	2 100.00	) (	)	0.00		0	0.00		0.0	00	0	0	0	0 0	) (	) (	0
December 20	0																				
Non-S&P 500 Summary	Stocks							Non	-Marketable												
Total Non-Dir Orders	Non-Dire ected Orders a Orders 0	s % of All	Total Market Order	Market Orders as % of Non-Directed 's Orders 0 0.0	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders 0.000	Total Non-Marketable Limit Orders	Limi	t Orders as % o -Directed	Total Other Ord	Other Ord of Non-Di ers Orders		0								
	-							-	-		-		-								
Venues																					
Venue - Non- directed Orde	Non-Dire r Flow Orders		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)		-Marketable It Orders	Non-Marketable Limit Orders(%)		ders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USI		Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)		Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
December 20	0																				
Outlas Conta																					

Option Contracts Summary

Summary							Non-Market	able				
	Non-Directed		Market Orders as %		Marketable Limit		Limit Orders	as % of	Other Or	Other Orders as %		
Total Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of Non-		Non-Directe	d	of Non-E	Directed		
Orders	Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Ord	ers Orders			
c	0.0	0 0	0.00		0 0.00		0	0.00	0	0.00		
Venues												

												Net Payment			Net Payment		Net Payment	
									Paid/Received for	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment			
											Net Payment	Market	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Orders(cents per	Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow	v Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD	) hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	Other Orders(USD)	per hundred shares)